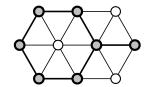
# CS/ECE 374 ♦ Fall 2016

## 

Due Tuesday, November 29, 2016 at 8pm

- 1. A subset *S* of vertices in an undirected graph *G* is called *almost independent* if at most 374 edges in *G* have both endpoints in *S*. Prove that finding the size of the largest almost-independent set of vertices in a given undirected graph is NP-hard.
- 2. A subset S of vertices in an undirected graph G is called *triangle-free* if, for every triple of vertices  $u, v, w \in S$ , at least one of the three edges uv, uw, vw is *absent* from G. Prove that finding the size of the largest triangle-free subset of vertices in a given undirected graph is NP-hard.



A triangle-free subset of 7 vertices.
This is **not** the largest triangle-free subset in this graph.

3. Charon needs to ferry *n* recently deceased people across the river Acheron into Hades. Certain pairs of these people are sworn enemies, who cannot be together on either side of the river unless Charon is also present. (If two enemies are left alone, one will steal the obol from the other's mouth, leaving them to wander the banks of the Acheron as a ghost for all eternity. Let's just say this is a Very Bad Thing.) The ferry can hold at most *k* passengers at a time, including Charon, and only Charon can pilot the ferry.

Prove that it is NP-hard to decide whether Charon can ferry all n people across the Acheron unharmed.<sup>1</sup> The input for Charon's problem consists of the integers k and n and an n-vertex graph G describing the pairs of enemies. The output is either TRUE or FALSE.

<sup>&</sup>lt;sup>1</sup>Aside from being, you know, dead.

Problem 3 is a generalization of the following extremely well-known puzzle, whose first known appearance is in the treatise *Propositiones ad Acuendos Juvenes* [*Problems to Sharpen the Young*] by the 8th-century English scholar Alcuin of York.<sup>2</sup>

XVIII. PROPOSITIO DE HOMINE ET CAPRA ET LVPO.

Homo quidam debebat ultra fluuium transferre lupum, capram, et fasciculum cauli. Et non potuit aliam nauem inuenire, nisi quae duos tantum ex ipsis ferre ualebat. Praeceptum itaque ei fuerat, ut omnia haec ultra illaesa omnino transferret. Dicat, qui potest, quomodo eis illaesis transire potuit?

**Solutio.** Simili namque tenore ducerem prius capram et dimitterem foris lupum et caulum. Tum deinde uenirem, lupumque transferrem: lupoque foris misso capram naui receptam ultra reducerem; capramque foris missam caulum transueherem ultra; atque iterum remigassem, capramque assumptam ultra duxissem. Sicque faciendo facta erit remigatio salubris, absque uoragine lacerationis.

In case your classical Latin is rusty, here is an English translation:

XVIII. THE PROBLEM OF THE MAN, THE GOAT, AND THE WOLF.

A man needed to transfer a wolf, a goat, and a bundle of cabbage across a river. However, he found that his boat could only bear the weight of two [objects at a time, including the man]. And he had to get everything across unharmed. Tell me if you can: How they were able to cross unharmed?

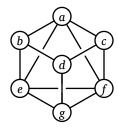
**Solution.** In a similar fashion [as an earlier problem], I would first take the goat across and leave the wolf and cabbage on the opposite bank. Then I would take the wolf across; leaving the wolf on shore, I would retrieve the goat and bring it back again. Then I would leave the goat and take the cabbage across. And then I would row across again and get the goat. In this way the crossing would go well, without any threat of slaughter.

Please do not write your solution to problem 3 in classical Latin.

<sup>&</sup>lt;sup>2</sup>At least, we *think* that's who wrote it; the evidence for his authorship is rather circumstantial, although we do know from his correspondence with Charlemagne that he sent the emperor some "simple arithmetical problems for fun". Most scholars believe that even if Alcuin is the actual author of the *Propositiones*, he didn't come up with the problems himself, but just collected his problems from other sources. Some things never change.

#### Solved Problem

4. A *double-Hamiltonian tour* in an undirected graph *G* is a closed walk that visits every vertex in *G* exactly twice. Prove that it is NP-hard to decide whether a given graph *G* has a double-Hamiltonian tour.



This graph contains the double-Hamiltonian tour  $a \rightarrow b \rightarrow d \rightarrow g \rightarrow e \rightarrow b \rightarrow d \rightarrow c \rightarrow f \rightarrow a \rightarrow c \rightarrow f \rightarrow g \rightarrow e \rightarrow a$ .

**Solution:** We prove the problem is NP-hard with a reduction from the standard Hamiltonian cycle problem. Let G be an arbitrary undirected graph. We construct a new graph H by attaching a small gadget to every vertex of G. Specifically, for each vertex v, we add two vertices  $v^{\sharp}$  and  $v^{\flat}$ , along with three edges  $vv^{\flat}$ ,  $vv^{\sharp}$ , and  $v^{\flat}v^{\sharp}$ .



A vertex in G, and the corresponding vertex gadget in H.

I claim that *G* has a Hamiltonian cycle if and only if *H* has a double-Hamiltonian tour.

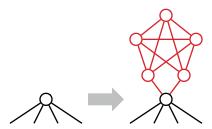
 $\Longrightarrow$  Suppose G has a Hamiltonian cycle  $\nu_1 \rightarrow \nu_2 \rightarrow \cdots \rightarrow \nu_n \rightarrow \nu_1$ . We can construct a double-Hamiltonian tour of H by replacing each vertex  $\nu_i$  with the following walk:

$$\cdots \rightarrow \nu_i \rightarrow \nu_i^{\flat} \rightarrow \nu_i^{\sharp} \rightarrow \nu_i^{\flat} \rightarrow \nu_i^{\sharp} \rightarrow \nu_i \rightarrow \cdots$$

Conversely, suppose H has a double-Hamiltonian tour D. Consider any vertex  $\nu$  in the original graph G; the tour D must visit  $\nu$  exactly twice. Those two visits split D into two closed walks, each of which visits  $\nu$  exactly once. Any walk from  $\nu^{\flat}$  or  $\nu^{\sharp}$  to any other vertex in H must pass through  $\nu$ . Thus, one of the two closed walks visits only the vertices  $\nu$ ,  $\nu^{\flat}$ , and  $\nu^{\sharp}$ . Thus, if we simply remove the vertices in  $H \setminus G$  from D, we obtain a closed walk in G that visits every vertex in G once.

Given any graph G, we can clearly construct the corresponding graph H in polynomial time.

With more effort, we can construct a graph H that contains a double-Hamiltonian tour *that traverses each edge of H at most once* if and only if G contains a Hamiltonian cycle. For each vertex v in G we attach a more complex gadget containing five vertices and eleven edges, as shown on the next page.



A vertex in G, and the corresponding modified vertex gadget in H.

**Common incorrect solution (self-loops):** We attempt to prove the problem is NP-hard with a reduction from the Hamiltonian cycle problem. Let G be an arbitrary undirected graph. We construct a new graph H by attaching a self-loop every vertex of G. Given any graph G, we can clearly construct the corresponding graph H in polynomial time.

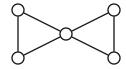


An incorrect vertex gadget.

Suppose *G* has a Hamiltonian cycle  $\nu_1 \rightarrow \nu_2 \rightarrow \cdots \rightarrow \nu_n \rightarrow \nu_1$ . We can construct a double-Hamiltonian tour of *H* by alternating between edges of the Hamiltonian cycle and self-loops:

$$v_1 \rightarrow v_1 \rightarrow v_2 \rightarrow v_2 \rightarrow v_3 \rightarrow \cdots \rightarrow v_n \rightarrow v_n \rightarrow v_1$$
.

On the other hand, if H has a double-Hamiltonian tour, we *cannot* conclude that G has a Hamiltonian cycle, because we cannot guarantee that a double-Hamiltonian tour in H uses any self-loops. The graph G shown below is a counterexample; it has a double-Hamiltonian tour (even before adding self-loops) but no Hamiltonian cycle.



This graph has a double-Hamiltonian tour.

#### 

### **Rubric (for all polynomial-time reductions):** 10 points =

- + 3 points for the reduction itself
  - For an NP-hardness proof, the reduction must be from a known NP-hard problem. You can use any of the NP-hard problems listed in the lecture notes (except the one you are trying to prove NP-hard, of course).
- + 3 points for the "if" proof of correctness
- + 3 points for the "only if" proof of correctness
- + 1 point for writing "polynomial time"
- An incorrect polynomial-time reduction that still satisfies half of the correctness proof is worth at most 4/10.
- A reduction in the wrong direction is worth 0/10.